

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toback, Alex S.

Application No.: 09/634,908

Group No.: 3635

Filed: 08/09/2000

Examiner: Varner, S.

For: SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a)

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Power of Attorney by Assignee of Entire Interest (2pgs)

Statement Under 37 C.F.R. section 3.73 (b) (3pgs)

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Commissioner for Patents  
Washington, D.C. 20231

on Apr. 11, 2002. ✓

Charles R. Cypher

  
Signature of person mailing paper

967947524

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toback, Alex S.

Application No.: 09/634,908

Group No.: 3635

Filed: 08/09/00

Examiner: Varner, S.

For: SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

Commissioner for Patents  
Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
(REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified application,

REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following practitioners are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

Charles R. Cypher, Registration No. 41694  
James R. Cypher, Registration No. 22448

---

SEND CORRESPONDENCE TO:

Customer No.: 498

DIRECT TELEPHONE CALLS TO:

510-832-4111

---

Assignee:

Simpson Strong-Tie Company, Inc.  
4120 Dublin Boulevard, Suite 400  
Dublin, CA 94568


Assignment was submitted for recording in PTO on March 11, 2002

EL 967947524

**ASSIGNEE STATEMENT**

Attached to this power is a "STATEMENT UNDER 37 C.F.R. section 3.73(b)."

Date:

  
Signature  
Simpson Strong-Tie Company, Inc.  
Assignee  
Stephen B. Lamson  
President

**EL** 967 94 7524

EL 827 314 821

Practitioner's Docket No. SST/1197

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toback, Alex S.

Application No.: 09/634,908

Group No.: 3635

Filed: August 9, 2000

Examiner: Varner, S.

For: SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. section 3.73(b)  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

2. Name of assignee: Simpson Strong-Tie Company, Inc.  
Type of assignee: Corporation

PERSON AUTHORIZED TO SIGN

3. Name of person authorized to sign on behalf of assignee: Stephen B. Lamson

---

CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10\*  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10\*

- ☐ with sufficient postage as first class mail.

- ☐ as "Express Mail Post Office to Address"  
Mailing Label No. \_\_\_\_\_ (mandatory)

TRANSMISSION

EL 967 947 524

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature

\_\_\_\_\_  
(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).  
"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Statement under 37 C.F.R. section 3.73(b) Establishing Right of Assignee to Take Action--page 1 of 3) <sup>cel</sup> 3/11/02

EL 827 31 4 821

Title of person authorized to sign: President

I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

**BASIS OF ASSIGNEE'S INTEREST**

Ownership by the assignee is established as follows:

**A. Assignments (document) separately being submitted for recordal herewith.**

**1. Document entitled: ASSIGNMENT**

From: Alex S. Toback

To: Toback & Associates LLC

Of: Provisional Patent Application 60/170,689  
Inventor: Alex S. Toback

Executed: January 10, 2002

**2. Document entitled: IN THE UNITED STATES PATENT OFFICE ASSIGNMENT  
TOBACK & ASSOCIATES LLC TO SIMPSON STRONG-TIE COMPANY, INC.**

From: Alex S. Toback

To: Toback & Associates LLC

From: Toback & Associates LLC

To: Simpson Strong-Tie Company, Inc.

Of: Provisional Patent Application 60/170,689  
Non-provisional Patent Application 09/634,908  
Inventor: Alex S. Toback

Executed: January 10, 2002

**B. Assignments (document) already recorded:**

**1. Document entitled: ASSIGNMENT**

From: Alex S. Toback

To: Toback & Associates LLC

Of: Non-Provisional Patent Application 09/634,908  
Inventor: Alex S. Toback

The assignment was filed concurrently with the application.

Executed: August 7, 2000

Recorded: 1/25/2001 Reel: 011464 Frame: 0467

EL 967 94 7524

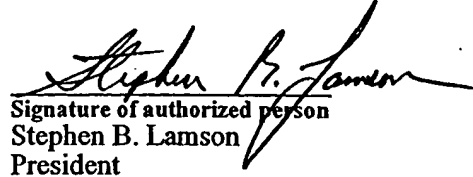
## COPIES OF DOCUMENTS IN CHAIN OF TITLE

Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:


A1

A2

B1

  
Signature of authorized person  
Stephen B. Lamson  
President

Date: March 11, 2002

  
Charles R. Cypher  
Registration No. 41694  
Law Offices of James R. Cypher  
405 14th Street, Suite 1607  
Oakland, CA 94612-2747  
510-832 4111  
Customer No. 498

EL 967947524

1


5

Evidence that I personally placed these materials in an envelope and made arrangements that they were timely filed are my initials next to each listed document on the attached Return Receipt Post Card and the Certificate of Mailing which accompanied those materials on April 11, 2001. Further evidence that I personally deposited these materials are my signature on the Return Receipt Post Card and on the Certificate of Mailing. Both the Return Receipt Post Card and the Certificate of Mailing attest to a mailing date of April 11, 2002.

30

Date: Ans Septans 8, 2009  
Can 9/11/09

**Signature:**

  
Charles R. Cypher, Reg. No. 41,69

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Washington, D.C. 20231

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JAMES R. CYPHER

Application: Certificate of Mailing (1pg), Power of Attorney by Assignee of Entire  
Interest (2pgs), Statement Under 37 C.F.R. section 3.73 (b) (3pgs).

Inventors: Alex S. Toback

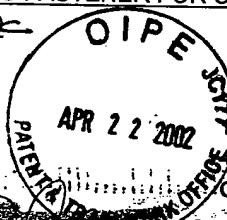
Title: SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

SN: 09/634.908 FD: 08/09/2000

File No: TOB/101/US & SST/1197

Check no: N/A For: N/A

Date: 04/11/2002



James R. Cypher  
1607 Financial Center Building  
405 - 14th Street  
Oakland, CA 94612-2747

EL 967947524



LAW OFFICES OF

*James R. Cypher*

JAMES R. CYPHER  
CHARLES R. CYPHER  
NICOLAS S. GOLD

PATENT, TRADEMARK & COPYRIGHT LAWYERS  
1607 FINANCIAL CENTER BUILDING  
405 14TH STREET  
OAKLAND, CALIFORNIA 94612-2747

TEL (510) 832-4111  
FAX (510) 832-4115

**FACSIMILE TRANSMITTAL**

From: Mhalu Dizon  
Questions? Call (510) 832-4111 405 14th Street, Suite 1607  
Fax (510) 832-4115 Oakland, CA 94612-2747  
To: U.S. Patent & Trademark Office Fax No.: (703) 746-3855  
Attn: Steve Varner  
File: SST/1197  
Subject: Serial No. 09/634,908  
Date: June 23, 2003  
Time: 10:01:24 AM Pages: 17 (including this one)

**EL 967 94 7524**

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**SPECIAL INSTRUCTIONS:**

Per our telephone conversation today, I am enclosing copies of Power of Attorney, Statement Under 37 C.F.R. § 3.73(b), and Assignment document previously filed to the Patent Office for Application No. 09/634,908. These documents show that the above-mentioned patent application has been assigned to our client, SIMPSON STRONG-TIE COMPANY, INC., and our office has been appointed to transact all business in the Patent and Trademark Office. Kindly, please fax us the last office action which was mailed on May 20, 2002 to Alix Yale & Ristas. Our fax number is (510) 832-4115.

If you have any questions, please contact this office. Thank you.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toback, Alex S.

Application No.: 09/634,908

Group No.: 3635

Filed: 08/09/00

Examiner: Varner, S.

For: SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

Commissioner for Patents  
Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
(REVOCATION OF PRIOR POWERS)

As assignee of record of the entire interest of the above identified application,

REVOCATION OF PRIOR POWERS OF ATTORNEY

all powers of attorney previously given are hereby revoked and

NEW POWER OF ATTORNEY

the following practitioners are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith.

Charles R. Cypher, Registration No. 41694  
James R. Cypher, Registration No. 22448

---

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

Customer No.: 498

510-832-4111

---

Assignee:

Simpson Strong-Tie Company, Inc.  
4120 Dublin Boulevard, Suite 400  
Dublin, CA 94568


Assignment was submitted for recording in PTO on March 11, 2002

967947524

## ASSIGNEE STATEMENT

Attached to this power is a "STATEMENT UNDER 37 C.F.R. section 3.73(b)."

Date:

  
Signature  
Simpson Strong-Tie Company, Inc.  
Assignee  
Stephen B. Lamson  
President

EL 967947524

Practitioner's Docket No. SST/1197

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toback, Alex S.

Application No.: 09/634,908

Group No.: 3635

Filed: August 9, 2000

Examiner: Varner, S.

For: SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE

Assistant Commissioner for Patents  
Washington, D.C. 20231STATEMENT UNDER 37 C.F.R. section 3.73(b)  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

## IDENTIFICATION OF ASSIGNEE

2. Name of assignee: Simpson Strong-Tie Company, Inc.  
Type of assignee: Corporation

RECEIVED

SEP 11 2003

## PERSON AUTHORIZED TO SIGN

3. Name of person authorized to sign on behalf of assignee: Stephen B. Lamson

OFFICE OF PETITIONS

---

CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10\*  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

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I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

- ☐ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. section 1.8(a)

37 C.F.R. section 1.10\*

- ☐ with sufficient postage as first class mail. ☐ as "Express Mail Post Office to Address"  
Mailing Label No. \_\_\_\_\_ (mandatory)

## TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature\_\_\_\_\_  
(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).  
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(Statement under 37 C.F.R. section 3.73(b) Establishing Right of Assignee to Take Action--page 1 of 1) <sup>3 cel</sup> 3/1/02

Title of person authorized to sign: President

I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

**BASIS OF ASSIGNEE'S INTEREST**

Ownership by the assignee is established as follows:

**A. Assignments (document) separately being submitted for recordal herewith.**

**1. Document entitled: ASSIGNMENT**

From: Alex S. Toback  
To: Toback & Associates LLC  
Of: Provisional Patent Application 60/170,689  
Inventor: Alex S. Toback  
Executed: January 10, 2002

**2. Document entitled: IN THE UNITED STATES PATENT OFFICE ASSIGNMENT  
TOBACK & ASSOCIATES LLC TO SIMPSON STRONG-TIE COMPANY, INC.**

From: Alex S. Toback  
To: Toback & Associates LLC  
From: Toback & Associates LLC  
To: Simpson Strong-Tie Company, Inc.  
Of: Provisional Patent Application 60/170,689  
Non-provisional Patent Application 09/634,908  
Inventor: Alex S. Toback  
Executed: January 10, 2002

967947524

**B. Assignments (document) already recorded:**

**1. Document entitled: ASSIGNMENT**

From: Alex S. Toback  
To: Toback & Associates LLC  
Of: Non-Provisional Patent Application 09/634,908  
Inventor: Alex S. Toback  
The assignment was filed concurrently with the application.  
Executed: August 7, 2000  
Recorded: 1/25/2001 Reel: 011464 Frame: 0467

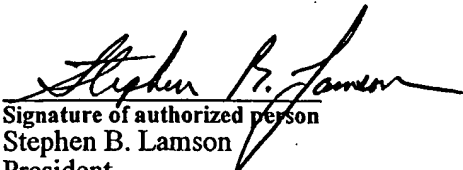
COPIES OF DOCUMENTS IN CHAIN OF TITLE

Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:


A1

A2

B1

  
Signature of authorized person  
Stephen B. Lamson  
President

Date: March 11, 2002

  
Charles R. Cypher  
Registration No. 41694  
Law Offices of James R. Cypher  
405 14th Street, Suite 1607  
Oakland, CA 94612-2747  
510-832 4111  
Customer No. 498

967947524

PATENTS ONLY

EL 8 2 314821

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alex S. Toback

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Toback & Associates LLC

Internal Address:

Street Address: 106 South Street

City: West Hartford State: CT ZIP: 06110-1961

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: January 10, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/634,908 CAL 3/14/02  
60/170,609

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles R. Cypher

Internal Address:

Street Address: 405 14th Street, Suite 1607

City: Oakland State: CA ZIP: 94612

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed Check no. 8238

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles R. Cypher

Name of Person Signing

Signature

March 11, 2002

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

EL 827 31 4821

Attorney Docket: METALT/107/US

**ASSIGNMENT**

WHEREAS, I, Alex S. Toback, residing at 65 Fox Chase Lane, West Hartford, Connecticut 06117 have invented new and useful improvements in

**CONCRETE FASTENER**

for which I have made application for Letters Patent of the United States, which Provisional Patent Application was filed on December 14, 1999, under Serial No. 60/170,689; and

WHEREAS, Toback & Associates LLC, a Connecticut corporation, having a place of business at 106 South Street, West Hartford, Connecticut 06110-1961, is desirous of acquiring the entire right, title and interest in and to said improvements and any Letters Patent which may be granted thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One (1) Dollar to me in hand paid and other good and valuable consideration, receipt of which is hereby acknowledged, I, the said Alex S. Toback, sell, assign and transfer to Toback & Associates LLC, its successors and assigns (hereinafter called "Assignee"), the entire right, title and interest in and to said improvements and in and to any Letters Patent which may be obtained thereon in the United States and in all countries foreign thereto, together with said application and all divisional, continuing, substitute, renewal, reissue, and other applications for Letters Patent which have been or may be filed on said improvements in the United States or any other country; the same to be held and enjoyed by the Assignee for its and their sole use and behoof; and I do hereby further assign to the Assignee the right to file applications for patent in all countries on said improvements and all rights of priority resulting from any application for Letters Patent filed on said improvements.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent of the United States on said improvements to the Assignee.

I further covenant and agree that when requested by the Assignee, and without further consideration, but at the cost and expense of the Assignee, I will, for any and all countries, execute and deliver all applications for patent on said improvements, execute all lawful oaths and other papers, supply to the Assignee all facts and evidence known to me relating to said improvements and the history and development thereof, testify in all interferences, suits, and other legal proceedings, and generally do everything rightful which the Assignee shall consider desirable for aiding in securing, maintaining, and enforcing proper patent protection for said improvements and for vesting the title to said improvements in the Assignee.





RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

Tab settings ⇐ ⇐ ⇐ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Toback & Associates LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Simpson Strong-Tie Company, Inc.

Internal Address: \_\_\_\_\_

Street Address: 4120 Dublin Boulevard, Suite 400

City: Dublin State: CA ZIP: 94568

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: January 10, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/634,908

60/170,689

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

967947524

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles R. Cypher

Internal Address: \_\_\_\_\_

Street Address: 405 14th Street, Suite 1607

City: Oakland State: CA ZIP: 94612

6. Total number of applications and patents involved: 12

7. Total fee (37 CFR 3.41) ..... \$ 40.00 + 40.00

☒ Enclosed Check no. 8238

☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Charles R. Cypher

Name of Person Signing

Signature

March 11, 2002

Date

Total number of pages including cover sheet, attachments, and document: 5

EL 827 314 821

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## ASSIGNMENT

## TOBACK &amp; ASSOCIATES LLC TO SIMPSON STRONG-TIE COMPANY, INC.

1. WHEREAS, ALEX S. TOBACK, a citizen of the United States of America, residing in West Hartford, CT has made an invention, filed a provisional patent application in the United States Patent and Trademark Office based thereon, entitled CONCRETE FASTENER, on December 14, 1999, said application having received Application No. 60/170,689 and being also identified by Attorney's docket No. METALT/107/US, and also filed a non-provisional patent application for Letters Patent of the United States of America based thereon, entitled SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE, on August 9, 2000, said application having received Application No. 09/634,908 and claiming priority of said provisional application;

967947524

2. WHEREAS, ALEX S. TOBACK has sold, assigned and transferred unto TOBACK & ASSOCIATES LLC (ASSIGNOR), its successors and assigns, the entire right, title and interest in and to said invention, said provisional application and said non-provisional application, and in and to any division, continuation or continuation-in-part, of said application, and in and to any and all Letters Patent and reissues and extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise) which have been or may be granted on any of the foregoing; to be held and enjoyed as fully and exclusively as they would have been by Alex S. Toback had the assignment and transfer not been made;

3. WHEREAS, TOBACK & ASSOCIATES LLC (ASSIGNOR), a Limited Liability Company of Connecticut, having its principal office at 106 South Street, West Hartford 06110 is the sole and exclusive owner, of said invention, said provisional application and said

non-provisional application for Letters Patent of the United States of America;

4. WHEREAS, SIMPSON STRONG-TIE COMPANY, INC. (ASSIGNEE), a corporation of California having its principal office at 4120 Dublin Boulevard, Suite 400, Dublin, CA 94568 is desirous of acquiring the entire right, title and interest in, to and under said invention, said provisional application and said non-provisional application and any patents domestic or foreign to be obtained therefor;

5. NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR by these presents does sell, assign, and transfer unto ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention, said provisional application and said non-provisional application and in and to any division, continuation or continuation-in-part, of said non-provisional application, and in and to any and all Letters Patent and reissues and extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise) which have been or may be granted on any of the foregoing; the same to be held and enjoyed by said ASSIGNEE, for its own use and behoof, and for its legal representatives and assigns, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

6. ASSIGNOR and Alex S. Toback hereby covenant that no assignment, sale agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment and sale, and ASSIGNOR and Alex S. Toback further covenant that TOBACK & ASSOCIATES LLC (ASSIGNOR), its successors and assigns, hold the entire right, title and interest in and to said invention, said provisional application and said non-provisional application, and in and to any division, continuation or continuation-in-part, of said application, and in and to any and all Letters Patent and reissues and extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent in

967947524

foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise) which have been or may be granted on any of the foregoing;

7. ASSIGNOR and Alex S. Toback hereby covenant that no license to make, use or sell the subject matter of said invention, said provisional application, said non-provisional application or any patents domestic or foreign that may be obtained therefor, express or implied has been or will be made or entered into with an individual or entity other than said ASSIGNEE.

8. ASSIGNOR does further agree for itself and for its successors, assigns, and nominees, and Alex S. Toback does further agree for himself and for his heirs, executors and administrators, that they will, upon ASSIGNEE's request, promptly provide ASSIGNEE its successors, assigns, and nominees, all pertinent facts, embodiments and documents relating to said invention, said non-provisional application and said application and legal equivalents as may be known and accessible and will testify as to the same in any interference or litigation related thereto.

9. ASSIGNOR does further agree for itself and for its successors, assigns, and nominees, and Alex S. Toback does further agree for himself and for his heirs, executors and administrators, to promptly execute and deliver without further consideration but at the expense of ASSIGNEE, its successors, assigns, or nominees, to fully secure to ASSIGNEE, its successors, assigns, or nominees, any further applications, assignments, instruments, prototypes, samples, documents, declarations or affidavits, and to perform such other acts as are lawful and may be deemed necessary by the ASSIGNEE, its successors, assigns, or nominees, to fully secure to ASSIGNEE, its successors, assigns, or nominees, the interests as aforesaid.

10. ASSIGNOR and Alex S. Toback do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent which may be granted upon any of the said applications, to ASSIGNEE, as the assignee of the entire interest therein.

967947524


Executed, this 10<sup>th</sup> day of January, 200~~0~~<sup>2</sup> by: **EL 827314821**

TOBACK & ASSOCIATES LLC

Signed:   
Title: President

Executed, this 10<sup>th</sup> day of January, 200~~0~~<sup>2</sup> by:

ALEX S. TOBACK

Signed: 

967947524

**CERTIFICATE OF ACKNOWLEDGMENT**


State of Connecticut

County of Hartford

On January 10, 2002 before me, personally appeared  
Alex S. Toback

personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature 

Commissioner of the Superior Court

**RECEIVED**

SEP 11 2003

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EL 827314821



UNITED STATES DEPARTMENT OF COMMERCE  
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APRIL 09, 2001

PTAS



\*101601115A\*

ALIX, YALE & RISTAS, LLP  
GUY D. YALE, ESQ.  
750 MAIN STREET  
HARTFORD, CT 06103-2721

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
TOBACK, ALEX S.

DOC DATE: 08/07/2000

ASSIGNEE:  
TOBACK & ASSOCIATES  
106 SOUTH STREET  
WEST HARTFORD, CONNECTICUT

06110-1961

SERIAL NUMBER: 09634908  
PATENT NUMBER:

FILING DATE: 08/09/2000  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

967947524

EL827314821

Attorney Docket: TOB/101/US

**ASSIGNMENT**

WHEREAS, I, Alex S. Toback, residing at 65 Fox Chase Lane, West Hartford, Connecticut 06117 have invented new and useful improvements in

**SELF-DRILLING, SELF-ANCHORING FASTENER FOR CONCRETE**

for which I am making application for Letters Patent of the United States, which application was executed by me on the 7 day of August, 2000; and

WHEREAS, Toback & Associates LLC, a Connecticut Corporation, having a place of business at 106 South Street, West Hartford, Connecticut 06110-1961, is desirous of acquiring the entire right, title and interest in and to said improvements and any Letters Patent which may be granted thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One (1) Dollar to me in hand paid and other good and valuable consideration, receipt of which is hereby acknowledged, I, the said Alex S. Toback, sell, assign and transfer to Toback & Associates LLC, its successors and assigns (hereinafter called "Assignee"), the entire right, title and interest in and to said improvements and in and to any Letters Patent which may be obtained thereon in the United States and in all countries foreign thereto, together with said application and all divisional, continuing, substitute, renewal, reissue, and other applications for Letters Patent which have been or may be filed on said improvements in the United States or any other country; the same to be held and enjoyed by the Assignee for its and their sole use and behoof; and I do hereby further assign to the Assignee the right to file applications for patent in all countries on said improvements and all rights of priority resulting from any application for Letters Patent filed on said improvements.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent of the United States on said improvements to the Assignee.

EL595704955US





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